



# Contemporary Management of Carotid Disease

**“What We Know So Far”**

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*Interventional Cardiology & Endovascular Medicine*

# Disclosers

***NONE***

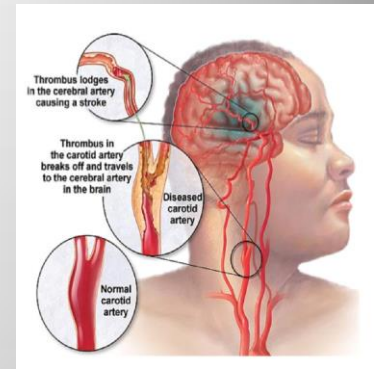






# Epidemiology

- 80 % of stroke are ischemic
- Approximately 25% of strokes are due to carotid artery disease
- Stroke is the third most common cause of death in the U.S. and the leading cause of serious long-term disability



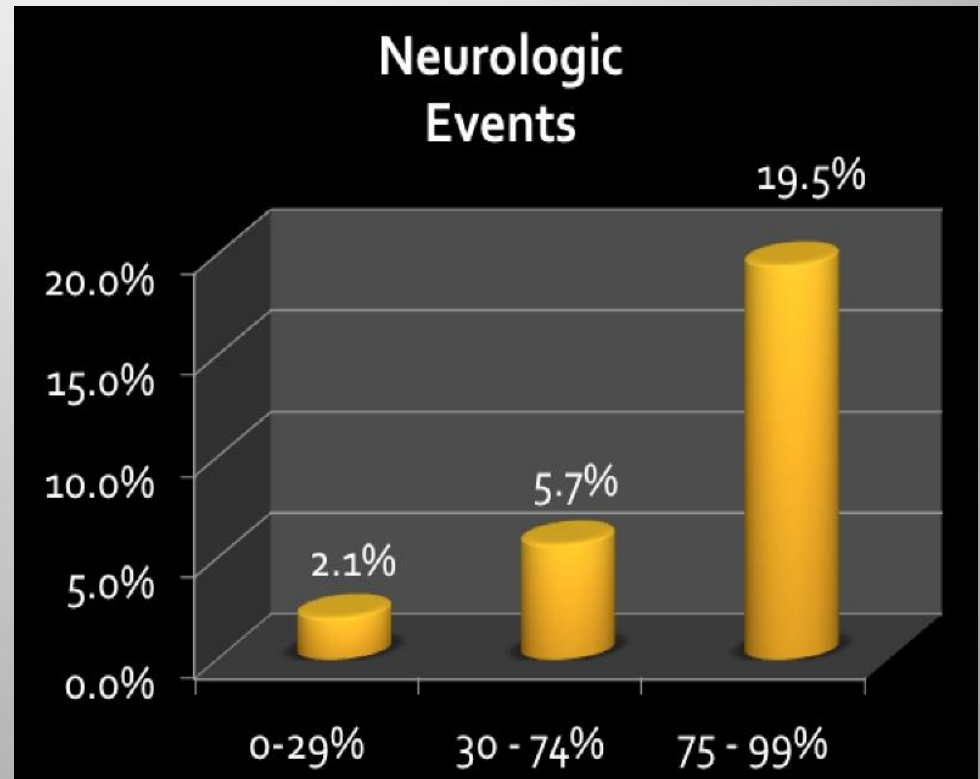
# Risk of Stroke and Carotid Stenosis

1986 NEJM:  
500 patients with asymptomatic  
bruit plus abnormal ultrasound

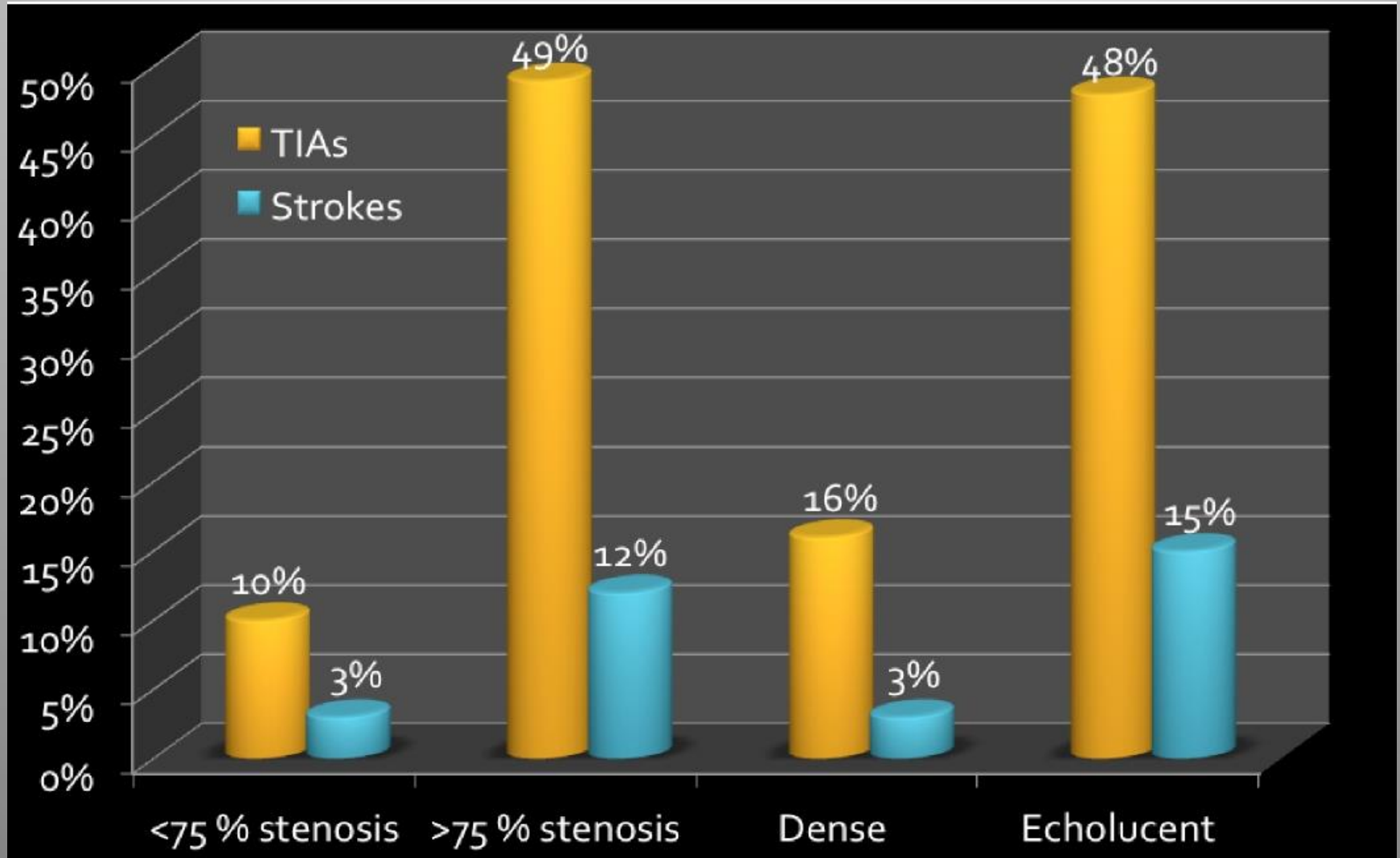
Recorded TIA/Stroke rates

Study period **4 years**

Mean follow up 26 months



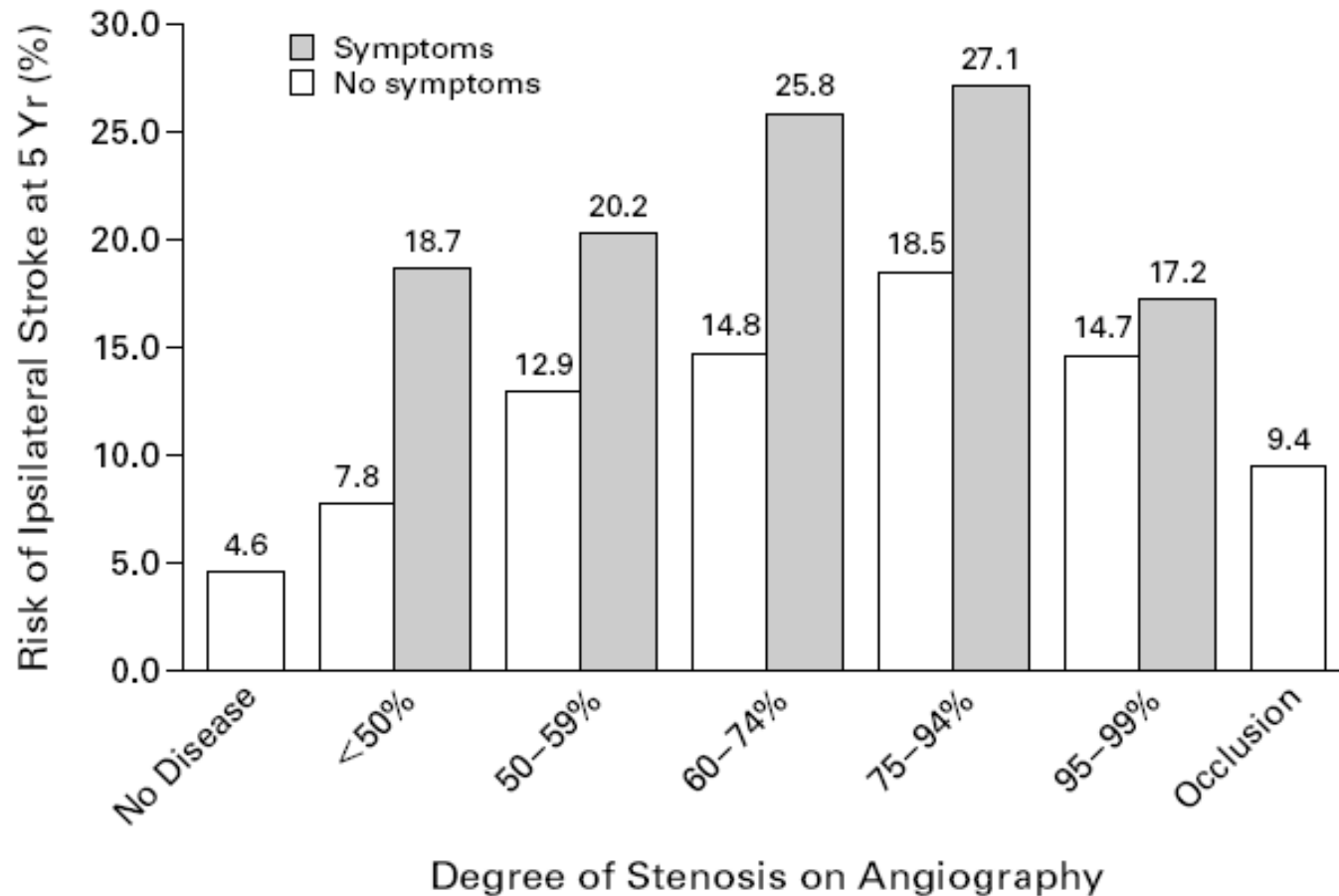
# Echogenicity



# Risk of Stroke and Carotid Stenosis

- Patients with unilateral symptomatic carotid-artery stenosis
- Patients with asymptomatic contralateral stenosis
- The risk of stroke at **five years** after study entry in a total of 1820 patients increased with the severity of stenosis

# Risk of Stroke and Carotid Stenosis





# Symptoms

- **Symptomatic patient**
  - Transient Ischemic Attack (TIA)
  - Amaurosis fugax (transient visual loss)
  - Minor non-disabling stroke
  - Cerebral infarction

## **Fun Facts:**

- 35% of patients with a carotid **bruit** have >50% carotid stenosis
- Only 50% of patients with significant hemodynamic carotid stenosis have **a bruit** noted during physical examination

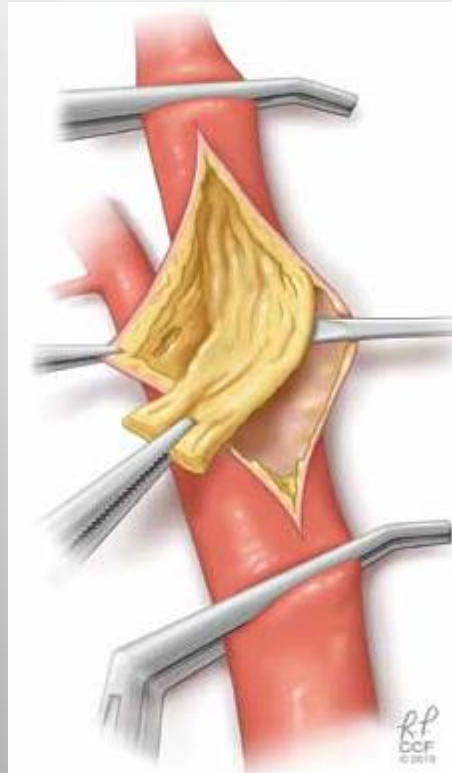
# Evaluation

- *Physical Exam*
  - Noting cervical bruits
- *Carotid Duplex Ultrasonography*
  - Most frequent primary test today
- *CT Arteriography (CTA)*
- *MR Arteriography (MRA)*
  
- ***Diagnostic angiography***
  - Considered highly accurate
  - Standard in clinical trials

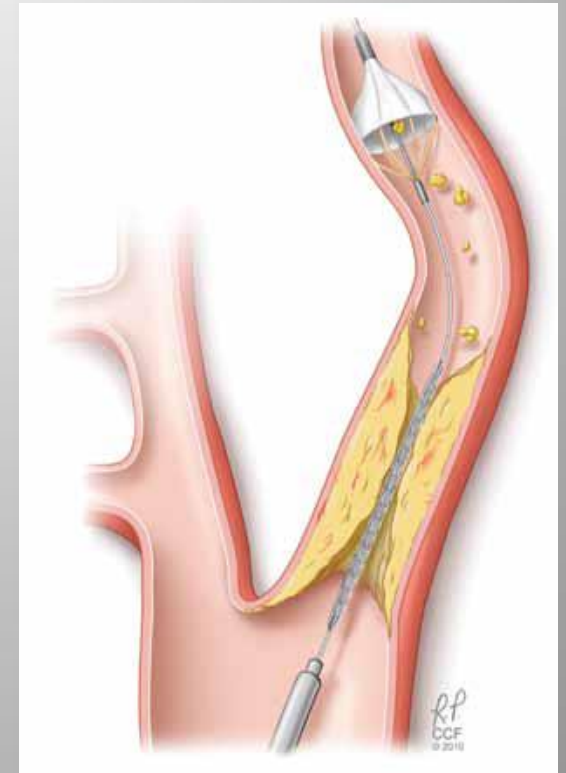
# Treatment Options



Medical therapy



Carotid  
Endarterectomy



Carotid artery  
Stenting

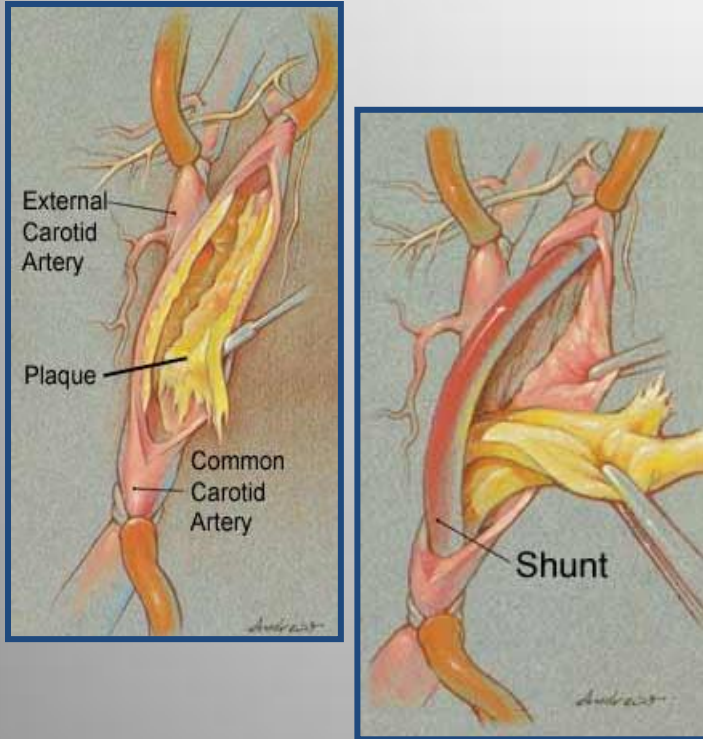
# Medical Therapy

- Rationale:
  - Pathophysiology is thrombosis or atherosclerotic debris release from carotid artery plaque
  - Treatment directed toward risk factor reduction and thrombosis prevention, and should be a mainstay of treatment post procedure
- Optimal medical therapy includes:
  - Risk Factor Modification
  - Medications
- Medical therapy should be first course of therapy for:
  - Asymptomatic patients with <60% stenosis
  - Symptomatic patients with <50% stenosis

# Medical Therapy

- Goals
  - Reduce the risk of future stroke
  - Control progression of carotid atherosclerosis
- Strategies to achieve goals
  - Antiplatelet or Anticoagulation therapy
  - Antihypertensive therapy
  - Statin therapy to lower serum cholesterol
  - Aggressive glycemic control
  - Quit smoking
  - Limit alcohol consumption
  - Diet and exercise
  - Duplex ultrasound monitoring for patients with stenosis of 50% or more

# Carotid Endarterectomy (CEA)



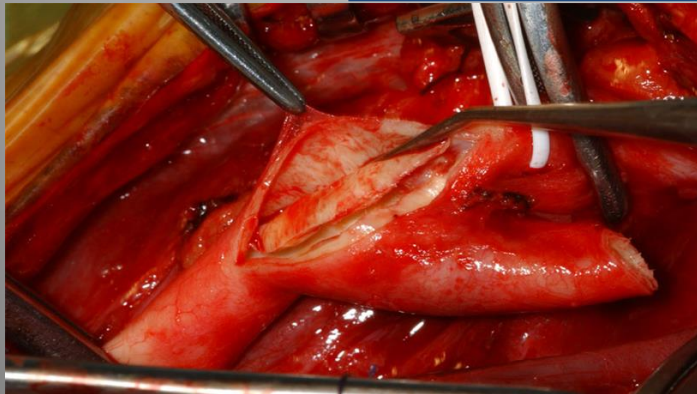
Surgical procedure to **remove plaque** from the carotid artery

Goal: reduce future stroke risk

Gold standard therapy in patients at low surgical risk but who require reestablishment of blood supply and removal of plaque to prevent embolization

The superiority of CEA with medical therapy in comparison to medical therapy alone has been demonstrated in randomized prospective studies for two classes of Carotid Artery Disease patients:

- Symptomatic patients with stenosis >50%
- Asymptomatic patients with stenosis >75%



# CEA vs. Medical Therapy

## NASCET: North American Symptomatic Carotid Endarterectomy Trial

<b>Design</b>	Prospective, Multicenter, Randomized Controlled Trial
<b>Stratification</b>	Endarterectomy + Medical Care (n=616) vs. Medical Care Alone (n=596)
<b>Hypothesis</b>	To test the potential benefit of CEA in patients with moderate or severe stenosis; standard risk patients

<b>Subjects:</b>	Randomized 1,212
<b>Sites:</b>	50 (US and Canada)

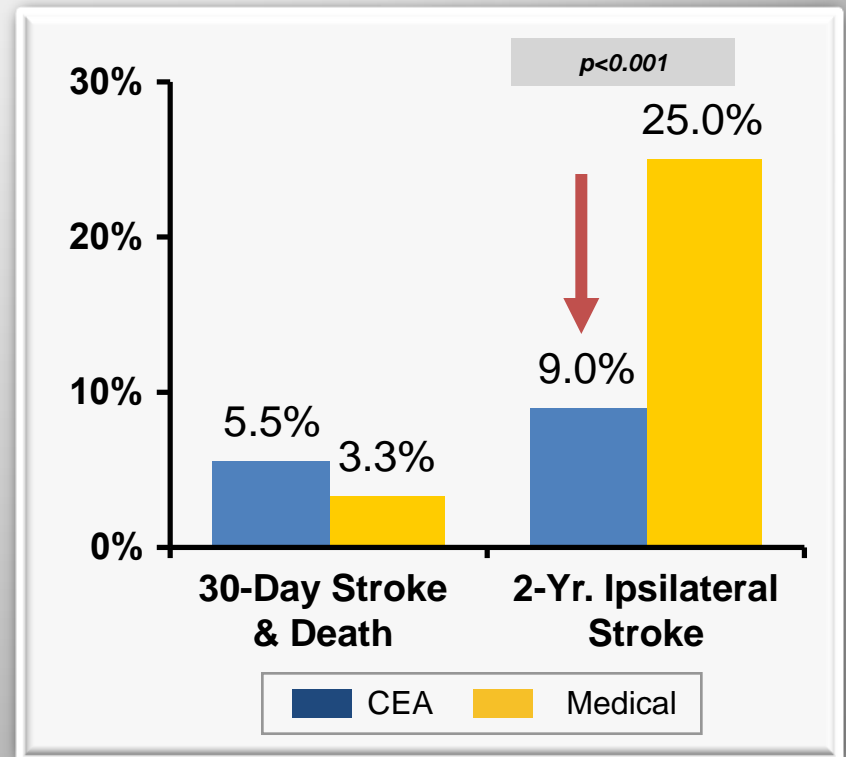
<b>Primary Endpoint:</b>	Ipsilateral stroke
<b>Follow-up:</b>	30 days, 1 year, & 2 years

- **Patients stratified according to degree of stenosis:**
  - moderate (<70%)
  - severe (70-99%)
- **Trial demonstrated clear benefits from CEA relative to medical therapy with aspirin in symptomatic patients at standard risk for surgery.**

# NASCET Results

- Results

- **Symptomatic patients with  $\geq 70\%$**  carotid stenosis derived substantial long term benefit from CEA
  - Medical event rate: close to 26%
  - CEA event rate 9%
- **Symptomatic patients with moderate stenosis (50 – 69%),** benefited much less ( $p = 0.045$ )
- **Patients with  $<50\%$**  stenosis showed no benefit from CEA
- Safety monitoring committee stopped trial early for patients with 70 – 99% stenosis after **significant benefit** became apparent





# CEA vs. Medical Therapy

## ACAS: Asymptomatic Carotid Atherosclerosis Study

<b>Design</b>	Prospective, Multicenter, Randomized Controlled Trial
<b>Stratification</b>	CEA + aspirin & risk factor reduction (n=825) vs. aspirin & risk factor reduction alone (n=834)
<b>Hypothesis</b>	To determine whether the addition of carotid endarterectomy to aggressive medical management can reduce the incidence of cerebral infarction in patients with asymptomatic carotid artery stenosis

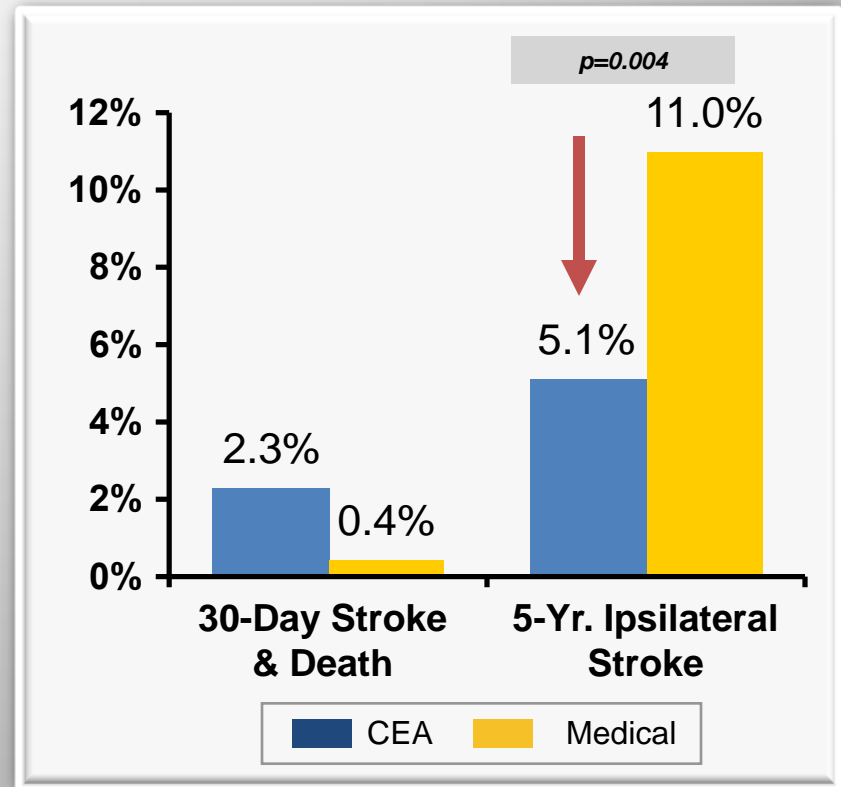
<b>Subjects:</b>	Randomized 1,662 (follow-up on 1,659)
<b>Sites:</b>	39 (US and Canada)
<b>Enrollment End:</b>	1993

<b>Primary Endpoint:</b>	30-day perioperative stroke or death plus subsequent stroke ipsilateral to the treated carotid artery
<b>Follow-up:</b>	30 days, 1 year, & 2 years

**Trial demonstrated clear benefits from CEA relative to medical therapy with aspirin in symptomatic patients at standard risk for surgery.**

# ACAS Results

- **30-day stroke and death** rate was higher in the CEA group: 2.3% vs. 0.4%
- Absolute risk reduction of 5-year ipsilateral stroke was 5.9 %
- 1.2% stroke risk from pre-op angiogram



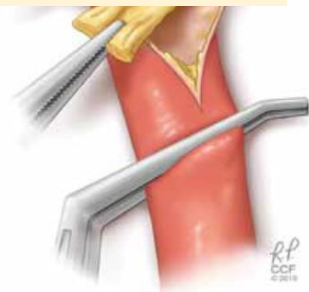
# NASCET/ACAS Trials Summary

- NASCET/ACAS were key trials that set up **CEA as the standard of care** for carotid disease vs. Medical Management
- Established AHA recommendations for **perioperative stroke risk**
  - Symptomatic  $\geq 70\%$  stenosis  $< 6\%$  (Peri-operative Stroke & Death risk)
  - Asymptomatic  $\geq 60\%$  stenosis  $< 3\%$  (Peri-operative Stroke & Death risk)
- **No Octogenarians** (80 years old) enrolled in these studies
- **30 day results** not as definitive as long term results for absolute risk reduction for stroke
- ACAS and NASCET are **NORMAL-RISK** trials and cannot be directly compared to the high-risk CAS trials

# Carotid Endarterectomy (CEA)

## American Heart Association and American Stroke Association recommendations on carotid endarterectomy for carotid stenosis

	RECOMMENDATION	LEVEL OF RECOMMENDATION
<b>Symptomatic stenosis</b>		
High-grade ( $\geq 70\%$ )	Carotid endarterectomy performed by a surgeon with a <u>perioperative morbidity rate <math>&lt; 6\%</math></u>	Class I Level of evidence A
Moderate ( $\geq 50\%$ and $< 70\%$ )	Carotid endarterectomy, depending on patient-specific factors such as age, sex, comorbidities, and severity of initial symptoms	Class I Level of evidence A
Mild ( $< 50\%$ )	No indication for endarterectomy	Class I Level of evidence A
<b>Asymptomatic stenosis</b>		
High-grade ( $\geq 60\%$ )	Endarterectomy performed by a surgeon with a <u>perioperative morbidity and mortality rate <math>&lt; 3\%</math></u>	Class I Level of evidence A



# Carotid Endarterectomy (CEA)

- Anatomical limitations for CEA



# Carotid Artery Stenting (CAS)

- Carotid artery stenting is a less invasive alternative to CEA
  - Goal to reduce future stroke risk
- Components of CAS include
  - Stent
    - Stabilizes and “traps” the plaque
    - Reduces the flow pressures on the plaque
    - Increases blood flow
  - Embolic Protection Device (EPD)
    - Designed to prevent embolization of debris released during a stenting procedure

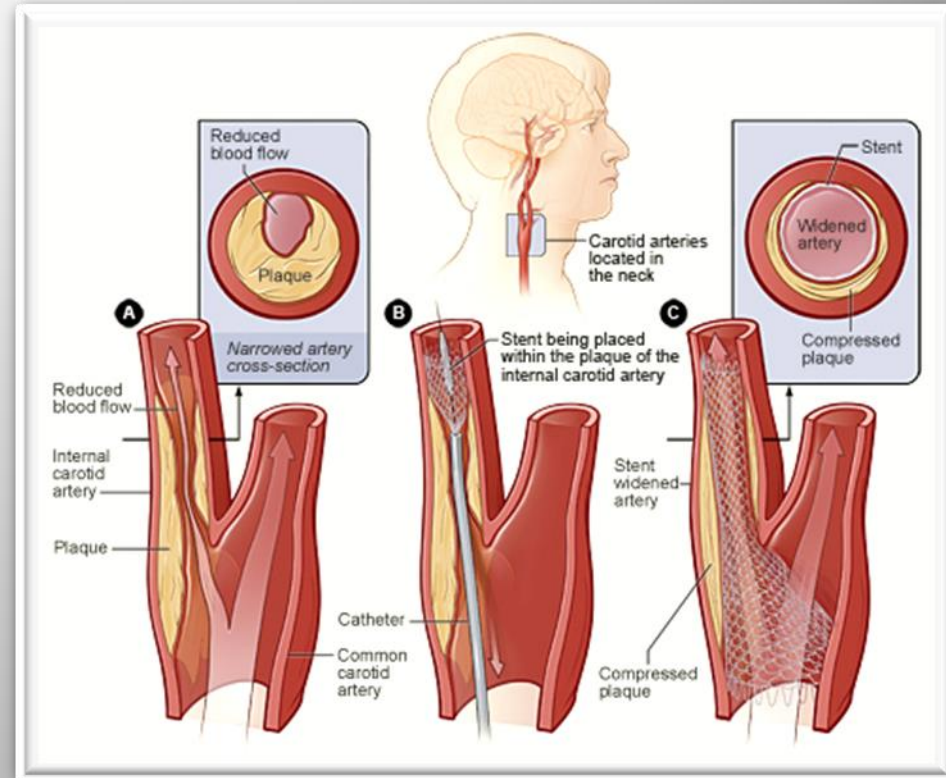
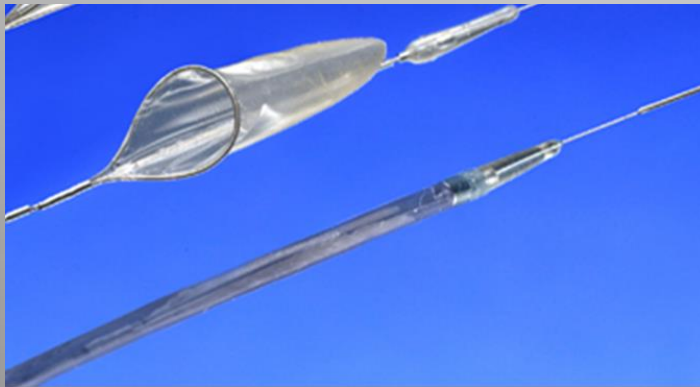


Image courtesy of the NHLBI

# Embolic Protection

- DEP devices
  - Filters
    - Porosity 100-150  $\mu\text{m}$
  - Distal occlusion
  - Flow reversal



# Carotid Stenting





# Carotid Stenting



# CEA vs. CAS

## CREST: Carotid Revascularization Endarterectomy vs. Stenting Trial

<b>Design</b>	Prospective, Multicenter, Randomized Controlled Trial
<b>Stent/EPD</b>	CEA (n=1240) vs. CAS: (n=1262)
<b>Hypothesis</b>	<ol style="list-style-type: none"> <li>1. Superiority – Hazard Ratio for CAS vs. CEA with multi-year follow-up (NIH Analysis)</li> <li>2. Non-inferiority – CAS is not worse than CEA at 1 year follow-up (FDA analysis)</li> </ol>

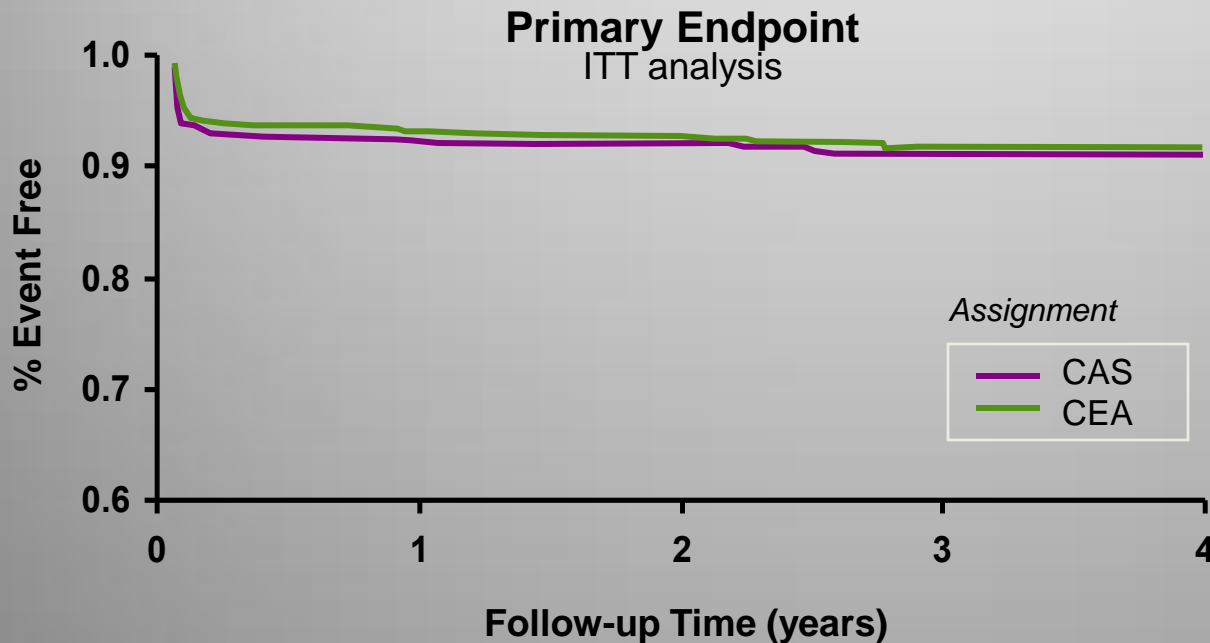
<b>Subjects:</b>	Lead-in      1,564 Randomized   2,522	<b>Enrollment:</b>	First Patient                      Dec 00 Lead-in completed                Apr 08 Randomized completed            Jul 08 First data presented                Feb 10
<b>Sites:</b>	117 (108 US, 9 Canada)		
<b>Follow-up:</b>	1 mo, 6 mos, every 6 mos for 4 years		

- CREST represents the largest, most rigorous, prospective randomized trial to show both stenting and surgery are safe and effective.
- Both CAS and CEA treatment groups had very low event rates confirming safety and effectiveness.
- CAS was proven non-inferior to CEA for the primary endpoint, and death, stroke, or MI at 30 days

# CREST Primary Endpoint: Stenting and Surgery Found to be Equally Durable

Any death, stroke or MI within the perioperative period plus ipsilateral stroke out to 4 years

CAS	CEA	Hazard Ratio	P-value
7.2%	6.8%	HR = 1.11; 95% CI: 0.81-1.51	0.51



Peri-procedural period defined per protocol as 30 days post-procedure for all patients receiving assigned therapy within 30 days from randomization, or 36 days after randomization for all patients not receiving assigned treatment within 30 days

# CREST

## Peri-procedural Findings

Outcome	CEA %	CAS %	p value
Periprocedural stroke+MI+death	4.5 =	5.2	0.38
Periprocedural stroke	2.3	4.1	0.01
- Major ipsilateral stroke	0.3	0.9	0.09
- Minor ipsilateral stroke	1.4	2.9	0.009
Periprocedural MI	2.3	1.1	0.03
Periprocedural death	0.3	0.7	0.18
Periprocedural cranial nerve injury	4.8	0.3	0.001

Thomas G. Brott N Eng Journal of Med 2005

## Pre-specified Secondary Analysis by Symptomatic Status: *Peri-procedural period*

### *Death, Stroke, MI*

	CAS	CEA	HR	P-value
<b>Asymptomatic</b>	3.5%	3.6%	HR = 1.02; 95% CI: 0.55-1.86	0.96
<b>Symptomatic</b>	6.7%	5.4%	HR = 1.26; 95% CI: 0.81-1.96	0.30

### *Death, Stroke*

	CAS	CEA	HR	P-value
<b>Asymptomatic</b>	2.5%	1.4%	HR = 1.88; 95% CI: 0.79-4.42	0.15
<b>Symptomatic</b>	6.0%	3.2%	HR = 1.89; 95% CI: 1.11-3.21	0.019

**Peri-procedural death, stroke rates for both CAS and CEA meet AHA guidelines in both asymptomatic and symptomatic patients**

# CREST

## 4 years Findings

Outcome	CEA %	CAS %	p value
4 years stroke+MI+death	6.8	7.2	0.51
4 years stroke	2.3	2	0.085

*Thomas G. Brott N Eng Journal of Med 2010*

## Pre-specified Secondary Analysis by Symptomatic Status: *Peri-procedural period plus ipsilateral stroke out to 4 years*

### *Death, Stroke, MI*

	CAS	CEA	HR	P-value
<b>Asymptomatic</b>	5.6%	4.9%	HR = 1.17; 95% CI: 0.69-1.98	0.56
<b>Symptomatic</b>	8.6%	8.4%	HR = 1.08; 95% CI: 0.74-1.59	0.69

### *Death, Stroke*

	CAS	CEA	HR	P-value
<b>Asymptomatic</b>	4.5%	2.7%	HR = 1.86; 95% CI: 0.95-3.66	0.54
<b>Symptomatic</b>	8.0%	6.4%	HR = 1.37; 95% CI: 0.90-2.09	0.14

**No evidence of a difference for either  
treatment by symptomatic status**

# CREST Findings

## Age

Younger patients have better outcome with CAS while older patients have better outcome with CEA

*Thomas G. Brott N Eng Journal of Med 2010*

120 days **stroke and death risk**

**Age <70 yrs** : CAS – 5.8%    CEA – 5.7%

**Age >70 yrs** : CAS – 12%    CEA – 5.9%

Arterial tortuosity and calcification in elderly prones to catheter provoked cerebral emboli

*Bonati LH Lancet 2010*



# CEA vs. CAS

Carotid Endarterectomy (CEA)		Carotid Artery Stenting (CAS)	
<i>Pros</i>	<i>Cons</i>	<i>Pros</i>	<i>Cons</i>
↓ Periprocedural stroke	↑ MI	↓ Periprocedural MI	↑ Periprocedural stroke
	↑ Cranial nerve injury	No cranial nerve injury	
	↑ Wound infection	↓ Wound infection	
	Required GA	No GA required	
	Longer recovery	Minimally invasive	

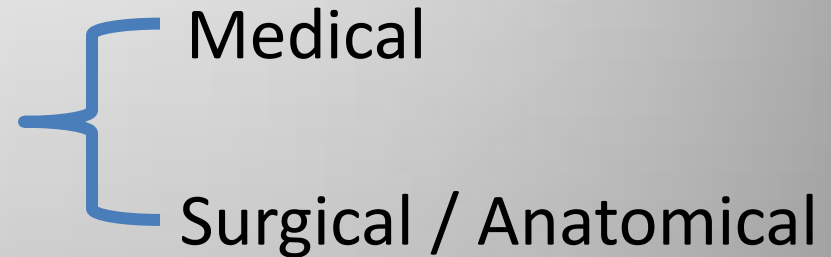
***So, What is the Optimal Strategy?***

# Matching Patient to Intervention

Treatment decisions depends on patient-specific factors

Risk factors for CEA

Risk factors for CAS



# Risk Factors for CEA

## ***Medical Risk Factors***

- CHF and left ventricular dysfunction
- Unstable angina or recent MI (<30 days)
- Coronary artery disease (CAD)
- Open heart surgery needed within 6 weeks
- Severe pulmonary dysfunction

- risk of worse outcome
- Similar stroke and death rate between low and high risk patient

*Mozes J Vasc Surg 2004*

# Risk factors for CEA

## *Surgical / Anatomical risk factors*

### **Surgical Factors**

- ❑ Restenosis after prior CEA
- ❑ Previous ablative neck surgery (e.g. radical neck dissection, laryngectomy)
- ❑ Previous neck irradiation
- ❑ Contralateral vocal cord paralysis
- ❑ Tracheostomy



### **↑ Local complications**

- Infection
- Nerve injury
- Cervical haematoma
- Wound dehiscence

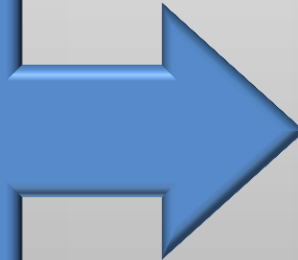


# Risk Factors for CEA

## *Surgical / Anatomical risk factors*

### Anatomical Factors

- ❑ High carotid bifurcation (above C2)
- ❑ Extension of atherosclerotic lesion into intracranial ICA or proximal CCA below clavicle

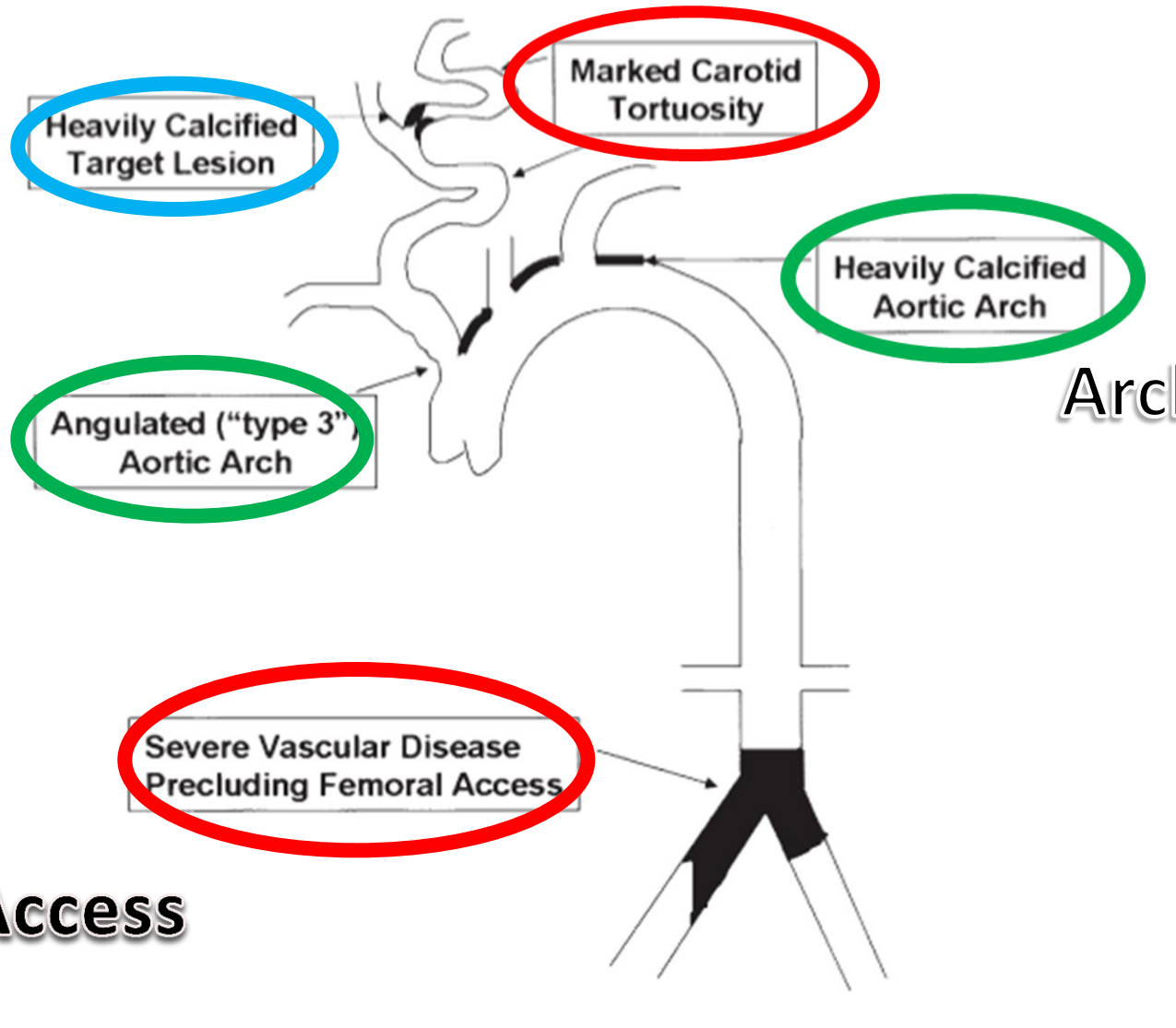


↑ Intraoperative or Peri-operative stroke



# Risk Factors for CAS

Target  
Vessel



Arch

Access

# Individualized Management

Optimal treatment selection specific for each patient

***Lowest morbidity rate***

***Most favorable outcomes***

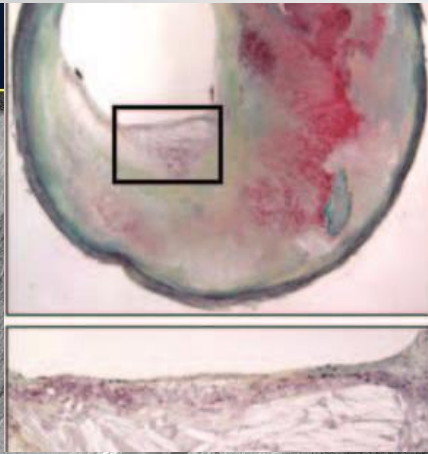




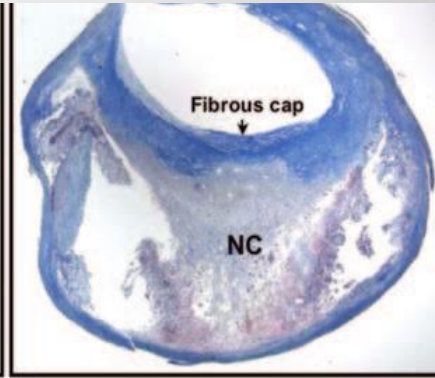
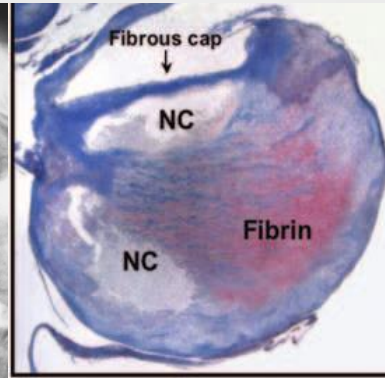
***What's on the Horizon?***

# Plaque Imaging

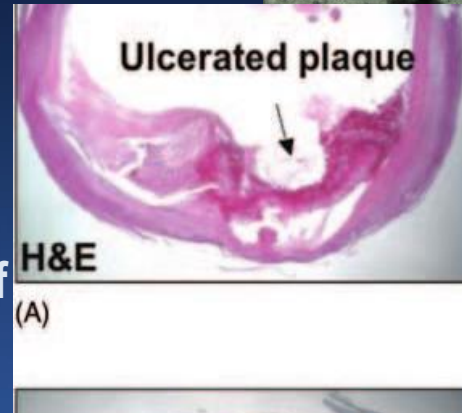
*Do All Plaques Behave the Same?*



**Vulnerable plaque with hemorrhage**

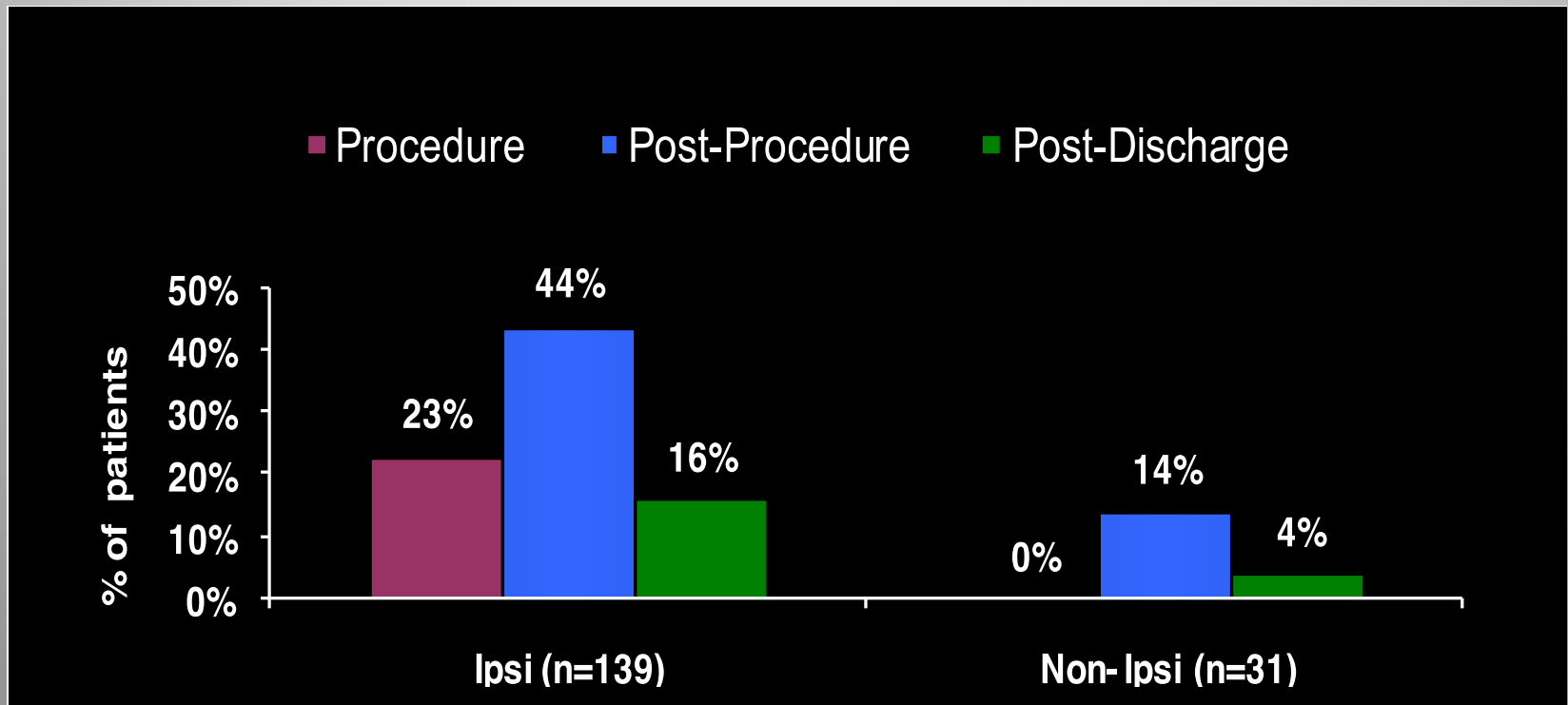


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# Understanding Peri-Procedureal Strokes

*Not all strokes appear on the day of the procedure*



# Understanding Peri-Procedural Strokes

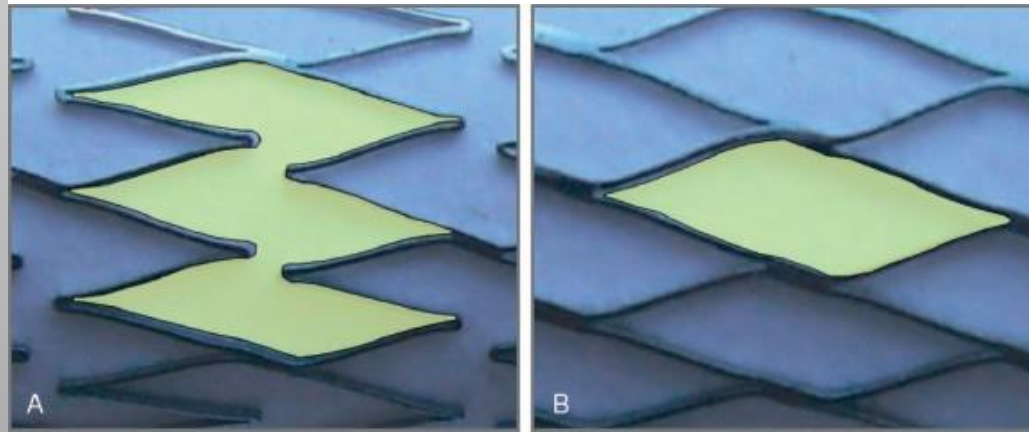
Delayed neurologic Events 1-30 days  
Open vs. Closed Cell design

	Total population		
	Patients	All events	Post-procedural events
Open cell	937	39	32
Closed cell	2242	51	29
Total	3179	90	61
Cell type			
Open cell		4.2%	3.4%
Closed cell		2.3%	1.3%
Total	3179	2.83%	1.9%

**2/3 of neuro events were delayed (1-30d)**

# Stent Design

## Open vs. Closed cell



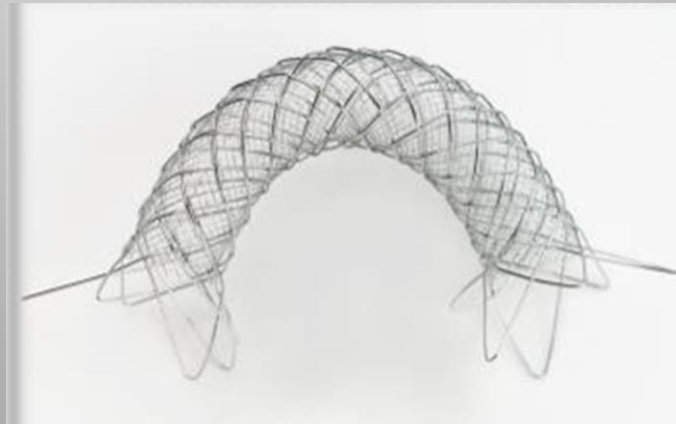
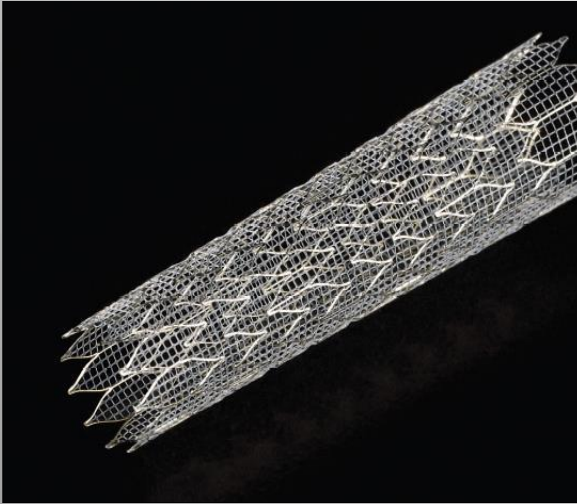
# What is The Optimal Carotid Stent?

- **Needs to offer:**
  - Scaffolding
  - Lesion Containment
  - Conformability
  - Visibility
  - Ease of use
  - Low profile

# New Mesh Stent Design

- Gore
- Terumo
- InspireMD

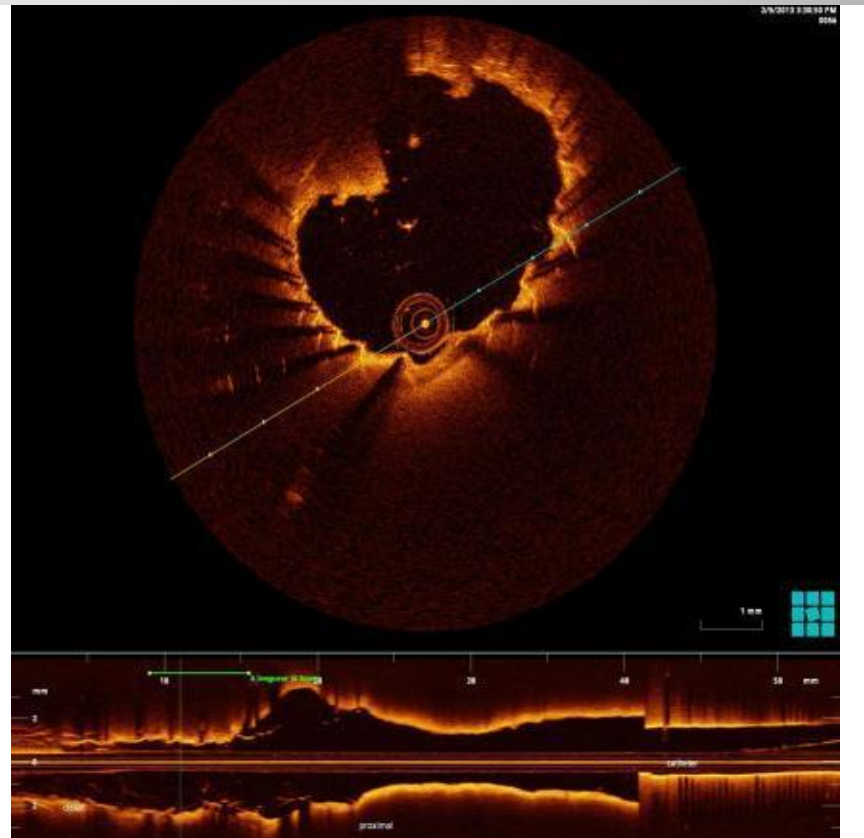
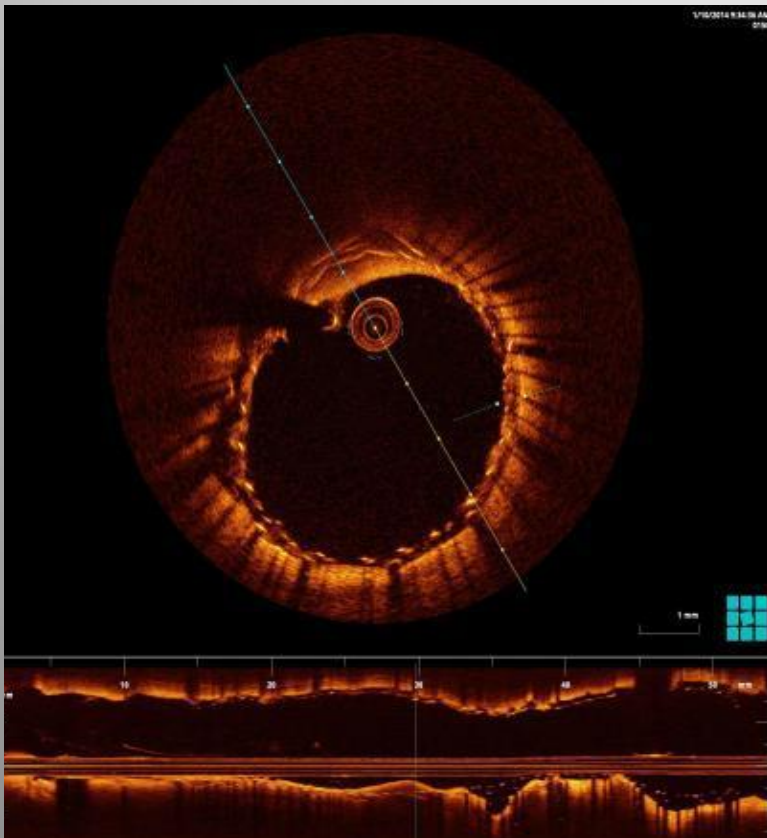
# New Mesh Stents



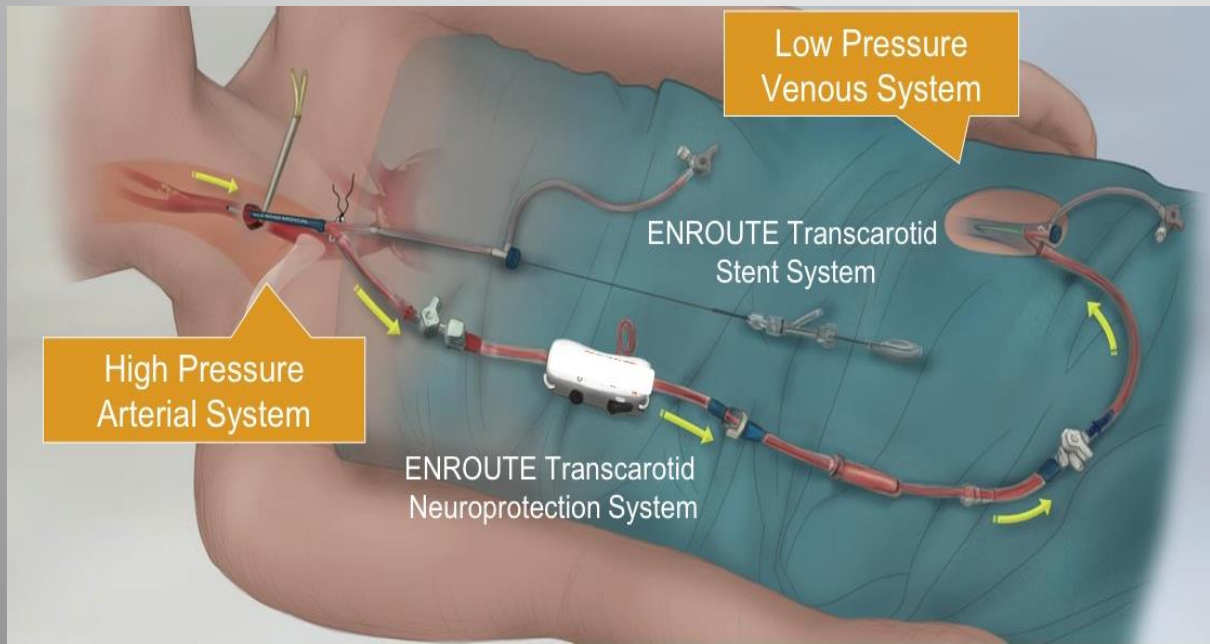


# Plaque Protrusion - OCT

*Mesh vs Closed cell stent*



# Direct Carotid Access High Rate Flow Reversal-TCAR



- Avoid the arch
- “CEA-like” neuroprotection
- Less manipulation

# Roadster Outcomes

High Surgical Risk	Pivotal Group, ITT (n=141)		Pivotal Group, PP (n=136)	
S/D/MI*	5	3.5%	4	2.9%
Major Stroke	0	0%	0	0%
Minor Stroke	2	1.4%	1	0.7%
Death	2	1.4%	2	1.5%
MI	1	0.7%	1	0.7%
Stroke & Death	4	2.8%	3	2.2%
Cranial Nerve Injury (CNI)	1	0.7%	1	0.7%
CNI Unresolved at 6 Mos	0	0%	0	0%

# TCAR



# New Technologies in CAS

- Mesh-covered carotid stents likely to add benefit in terms of reducing not only clinical events but also surrogate DWI lesions
- Double-filtration and TCAR is already showing benefit both clinically (TCAR), and using DWI surrogates
- Patient CAS outcomes—already good—should improve further

# CREST-2

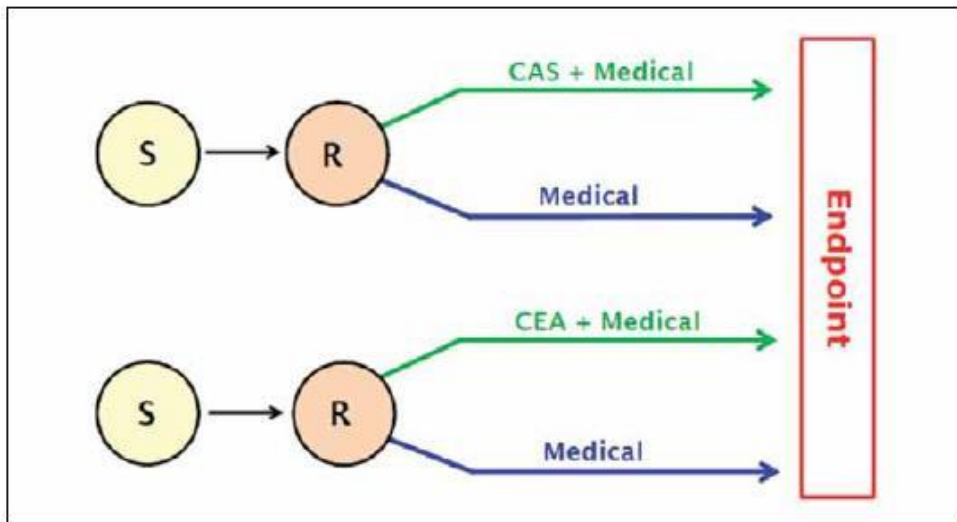


Figure 1. CREST-2 parallel study design. Endpoint = stroke and death in first 30 days and ipsilateral stroke thereafter up to 4 years. S, screening; R, randomization.

Asymptomatic carotid disease

Examining CAS and CEA in the context of intensive medical therapy

# 2018 Kettering Cardiology Colloquium

THE 10<sup>TH</sup> ANNUAL BENJAMIN SCHWARTZ, MD COLLOQUIUM

## Congestive Heart Failure

Turning Failure into Success

Wednesday • February 21, 2018  
10:30 a.m.-4 p.m.

Sinclair Community College  
Building 12, Conference Center





**Keynote Speaker: Javed Butler, MD, MPH, MBA**  
**Heart Failure 2018: Where Are We and Where Are We Going!**

Dr. Butler is a leading authority in Cardiovascular Medicine and Advanced Heart Failure and Transplant Medicine. Recently associated with Stony Brook University in New York, Dr. Butler was a professor, chief of Cardiology, and co-director of the Heart Institute at the university.

Prior to joining Stony Brook, Dr. Butler was director of Heart Failure Research at Emory Healthcare, professor of Medicine at Emory University School of Medicine, in Atlanta, Georgia, and director of the Center and Heart Lung Transplant Programs at Vanderbilt University in Nashville, Tennessee.

Dr. Butler, who is the recipient of several prestigious awards for his contributions to heart failure research, also has master degrees in Public Health from Harvard University and Business Administration from Emory University.

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***Thank You***

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*Questions???*