#### Medical Futility: Knowing When to Stop

Dennis M. Sullivan, MD, MA (Ethics)
Professor of Pharmacy Practice
Director, Center for Bioethics
Cedarville University

Email: <u>sullivan@cedarville.edu</u>

## Session Objectives

- ▶ 1. Present a clinical case where the concept of medical futility might be invoked.
- ▶ 2. Give the proper definition and ethical parameters of this much-overused concept.
- ➤ 3. Show how treatment withdrawals should be handled in the context of palliative care and hospice.

## Clinical Case Study

(PAY ATTENTION TO THE DETAILS, WE WILL BE ASKING FOR YOUR INPUT)

## Case History

- Mr. M, a 72 year-old retired accountant, in severe respiratory distress
- Smoker since his teen years (two packs per day for 40 years)
- Quit smoking two years ago, but chronically short of breath
- Three hospital admissions for respiratory failure in the previous year (2 required mechanical ventilation)
- During four months prior to this admission: supplemental home oxygen
- ► Three days before admission → increase in shortness of breath, dry cough, and fever

## Physical Exam

- Thin, chronically ill appearing man in acute respiratory distress
- Anxious and restless, respiratory rate 36 / min., using accessory respiratory muscles
- ▶ Blood Pressure 140/80
- Heart Rate 124 / min.
- ▶ Temp. 101.4 degrees F.
- Auscultation of chest: fine râles at right base

## Laboratory



Chest X-ray: Right Lower Lobe infiltrate, consistent with acute pneumonia

White Blood Cell Count: 14, 500 per cu. mm.

#### Clinical Course

- In the Emergency Department:
  - Patient intubated
  - Breathing supported with a mechanical ventilator
  - Admitted to Intensive Care Unit (ICU)
- ▶ In the ICU:
  - ▶ Diagnosis chronic emphysema with superimposed acute RLL pneumonia and acute respiratory failure.
  - Treated with antibiotics and pulmonary support
  - Over several days, lung picture has improved
  - Temperature and white blood cell count have normalized

## Clinical Course (cont.)

- Remaining Clinical Problem:
  - Multiple attempts to wean Mr. M from the ventilator have failed.
  - Off the ventilator, the patient becomes restless and agitated, with severe shortness of breath.
  - A tracheostomy is performed, but this fails to improve the situation.
  - On ICU rounds, some of the resident physicians wonder if further intensive management is "futile."
- Nursing staff are uncomfortable, ask for an ethics consult

#### Case Discussion

- You are on the Ethics Committee
- ▶ Ethics Question:
  - ▶ Is it ethically permissible to discontinue ventilator support in this patient with endstage pulmonary failure?
- Questions for your committee:
  - What additional information do you want to know?
  - ▶ How will you approach this case?

#### Ethics Consult

- This case history is ideal for ethics consultation.
  - May be an individual ethicist or a committee
  - In many cases, the ethical issues are clear
  - Main task may be simply to facilitate communication between the health care team and the family

#### Consultation is NOT:

- Legal cover for medical negligence
- A critique of the current healthcare plan
- ▶ A set of ethical directives to the physicians
- A wedge for families to get their demands met

#### Consultation IS:

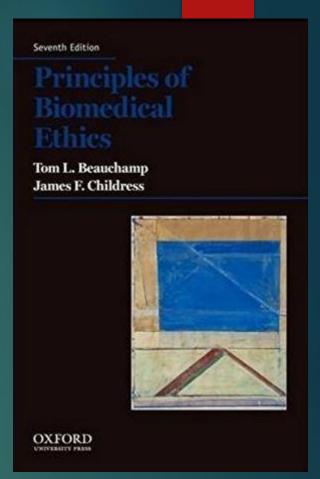
- Shared decision-making
- A fresh look from an ethics perspective
- A compassionate, caring encouragement to both providers and families

## Ethics Decision-Making

- Clarification:
  - Determine the clinical facts
  - Analyze the treatment options
  - Identify who are the decision-makers
  - Elucidate the key ethical principles that are in conflict
  - Identify the principle ethics question
- Analysis this should include:
  - Patient preferences (e.g., stated long-term wishes)
  - Quality of life (note limited role of healthcare providers)
  - Contextual features (religion, family dynamics, finances)

#### Medical Principlism

- Medical Principlism (Beauchamp and Childress)
  - ▶ Comes mostly from Hippocrates:
    - ▶ Beneficence
    - ▶Non-Maleficence
    - ▶ Distributive Justice
  - Autonomy is more recent:
    - ▶Legacy of Immanuel Kant
    - ▶ 18<sup>th</sup> Century



#### Some Definitions

#### **Terminal Condition**

- A disease or process that will result eventually in a patient's death
- No matter what treatment is given
- May include cases where death is inevitable but far off, as patients with cancer who live for years
- Imminent death: Death is expected within a short time, usually days or weeks

#### Withdrawing v. Withholding

- Withholding treatment: not starting it
- Withdrawing treatment: stopping an intervention already begun
  - ▶ The latter more difficult than the former
  - ▶ This is probably more psychological than real.
- (Example from training)

# Would Treatment Withdrawal be Euthanasia?

- Greek roots: eu for "good," and thanatos for "death"
- Means a "good" or "gentle" death
  - "Active" euthanasia: overt, deliberate killing of a patient:
    - Overdose of morphine
    - Potassium chloride to stop the heart
  - "Passive" euthanasia: withdrawing or withholding of treatment while the disease process takes it course to cause death
  - In other words, the distinction is between killing and letting die.

## Is This Euthanasia? (cont.)

- "Active" euthanasia usually considered morally wrong, even if a patient requests it
  - Focus is on the agent who gives consent, rather on the ethical merits of the act
  - Physician-assisted suicide (PAS):
    - variation of active euthanasia
    - ▶ the agent that causes the death is the patient herself, with means provided by the physician.
- "Active" and "Passive" are irrelevant and not helpful
- Euthanasia = intent to cause death as a medical treatment

## Intent is the Key

#### ▶ Robert Orr:

- "Withdrawing or withholding treatment or artificial means of life support in someone who is dying is not euthanasia at all – not even "passive" euthanasia – but acceptable, humane, and an often necessary part of everyday medical practice"
- ► Henk Jochemsen:
  - "Stopping disproportional medical treatment has always been good medical practice"

#### Principle of Double Effect (PDE)

- We are obligated to BOTH preserve life and relieve pain
- Example of morphine:
  - ► Two possible effects of morphine:
    - ▶ Relief of pain
    - Suppression of respiratory drive
  - Once again, the <u>intent</u> is important: If a treatment hastens death, but this is an unintended consequence of the intent to relieve suffering, then the act may be morally permissible.
- Applying the PDE in the case of Mr. M

Quality of Life Considerations

## Quality of Life (QOL)

- Best definition: personal satisfaction expressed by individuals about their own physical, mental, and social situation. Based on autonomy.
- Case Examples:
  - ▶ 27 year-old gymnastics instructor is paralyzed after a spinal cord injury: "My life isn't that bad. I've come to terms with my loss, and have discovered the joys of intellectual life."
  - ▶ 68 year-old artist with complications of Type II diabetes faces blindness and multiple amputations for infections: "How can I endure a life of such poor quality?"

#### Common Feature of QOL

- Determination of QOL is best made by the patient herself (perhaps with her family), not by the healthcare provider.
- Doctors should therefore be very cautious in making judgments about QOL for their patients.

#### More on Withdrawing Treatments

- As noted earlier:
  - Withholding and withdrawing: morally equivalent
  - But <u>only</u> in an end of life context
- Older terminology:
  - Ordinary v. extraordinary (heroic) treatments
  - Vague and confusing
  - Example:
    - Ventilator use in a 78 year-old man with end-stage lung disease might be "heroic"
    - But ventilator in a 26 year-old woman after a car accident would be "ordinary"

## Better terminology

- Proportionate v. disproportionate
- Greater precision in a clinical context
  - ► Example:
    - ➤ Ventilator use in a 78 year-old man with end-stage lung disease might be "disproportionate" for treatment goals.
    - ▶ But ventilator in a 26 year-old woman after a car accident would be "proportionate" to goal of restoring her to normal function.

## Medical Futility

- Merriam-Webster: futile:
  - serving no useful purpose
  - completely ineffective
- But definitions of <u>medical futility</u> can be "confusing, inconsistent, and controversial."
- Definition is often slanted to reflect the definer's point of view.
- Invoking "medical futility" is often a code-word for unilateral withdrawal of treatment.

## Medical Futility

- Vague, often abused concept:
  - When someone says, "Continuing treatment in this case would be futile,"
  - You should ask, "Futile for what? For what treatment goal?"
- This is where "proportionality" is helpful.
- You might say, "Continuing this particular therapy might be disproportionate for our treatment goals."

#### An Example

- "CPR is futile in this elderly woman with heart failure."
  - Overly vague and global
  - Even if the patient/family agree, still very unclear what is meant
- Perhaps better:
  - "CPR is futile for the goal of discharge from the Coronary Care Unit for Mrs. Jones."
  - Tied to a treatment goal
- But even still, this is based on a probability
- Sometimes patients surprise us

#### Another Example

- "A third round of chemo in this patient is futile."
  - Overly vague and global
  - ▶ Futile for what?
- Perhaps better:
  - Another round of chemo in this patient has a 2% chance of benefit.
  - The potential side effects would be severe and disproportionate to the goal of achieving a meaningful and comfortable prolongation of life.
- ▶ Patient-centered care: any such conclusions must respect patient autonomy.
- Interesting question: What % benefit would change all of this? 10%? 20%?

### Ethical Pearl

From Robert Orr (my former mentor):

"Some treatments are futile, but care is never futile."

#### Clinical Course of Mr. M

- ► ICU doctor discussed options with Mr. M. and his family (chaplain present)
- All agreed that ventilator burdensome, condition terminal
- ► Though on a ventilator, Mr. M. was fully alert; he and his family understood all implications
- Do Not Resuscitate (DNR) order entered in chart
- Breathing tube and ventilator removed
- ▶ 12 hours later → the patient died with wife and family present

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